

1 THE HONORABLE ROBERT J. BRYAN  
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Robert Lance Posner

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

BURRELL MARSH ALLEN and HELEN )  
ALLEN AS TRUSTEES OF THE ALLEN )  
LIVING TRUST, ) No. CV 06-5163 RJB  
Plaintiffs, ) ORDER AND JUDGMENT  
v. ) CONFIRMING AWARD AND  
RBC DAIN RAUSCHER INC., ROBERT ) DIRECTING EXPUNGEMENT OF  
LANCE POSNER, ) CRD RECORDS  
Defendants. ) [Clerk's Action Required]

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I. **HEARING**

The application by Defendants for confirmation of the Award and entry of judgment came on for hearing before the above Court.

The Court considered the pleadings on file, including the Award, which is attached hereto and was attached as Exhibit A to the Declaration of Julie K. Thrall in Support of Motion to Confirm Arbitration Award and Enter Judgment.

1                   **II. ORDER AND JUDGMENT**

2                   The Court confirms the Award reached by the NASD Dispute Resolution arbitration  
3 panel in the proceeding between the above named parties, Case No. 05-03363, which is  
4 incorporated herein by reference, and enters judgment as follows:

5                   In accordance with The Panel's Award, all references to arbitration matter No. 05-  
6 03363 are to be expunged from the CRD records of Defendant Robert Posner. Upon entry of  
7 this judgment the Central Registration Depository will expunge all reference to this  
8 arbitration, Case No. 05-03363, in the records of Robert Posner, including all references to the  
9 claims and allegations therein.

10                  DATED this 14<sup>th</sup> day of November 2006.

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15                  Robert J. Bryan  
16                  United States District Judge

17                  Presented by:

18                  LANE POWELL PC

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21                  By s/Julie K. Thrall  
22                  Julie K. Thrall, WSBA No. 27240  
23                  Attorneys for Defendants  
24                  RBC Dain Rauscher Inc.  
25                  Robert Posner

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2                   **CERTIFICATE OF SERVICE**  
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4                   Pursuant to RCW 9.A.72.085, the undersigned certifies under penalty of perjury under  
5 the laws of the State of Washington, that on the 14<sup>th</sup> day of November 2006, the document  
6 attached hereto was presented to the Clerk of the Court for filing and uploading to the  
7 CM/ECF system. In accordance with their ECF registration agreement and the Court's rules,  
8 the Clerk of the Court will send e-mail notification of such filing to the following persons:

9                   Ari Y. Brown  
10                  Bergman & Frockt  
11                  705 Second Avenue, Suite 1601  
12                  Seattle, WA 98104

13                  Dated this 14<sup>th</sup> day of November 2006.

14                  \_\_\_\_\_  
15                  s/Patricia Stockwell  
16                  Patricia Stockwell  
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